



## In the United States Patent and Trademark Office

Applicant: Donald J. McMichael      Docket No.: 19480  
Serial No.: 10/639,057      Group:  
Confirmation No: Not Received      Examiner:  
Filed: August 11, 2003  
For: Catheter Having a Balloon Member Recessedly Attached Thereto

### Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b) Before First Office Action or Within Three Months of Filing Date

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his attorneys, hereby wishes to direct the Examiner's attention to the documents listed on the modified PTO 1449 Form. A copy of each non-U.S. patent document listed on the Form is also presented herewith for the Examiner's review and convenience.

Applicants also wish to bring the following commonly-assigned pending patent applications to the attention of the Examiner:

U.S. Patent Application Serial No. 10/306,999 filed November 30, 2002, by Thomas G. Triebes et al. for "CATHETER WITH UNITARY COMPONENT."

U.S. Patent Application Serial No. 10/306,992 filed November 30, 2002, by Thomas G. Triebes et al. for "PROCESS FOR SECURING A TIP MEMBER TO A CATHETER DURING PRODUCTION OF THE TIP MEMBER."

U.S. Patent Application Serial No. 10/306,994 filed November 30, 2002, by Thomas G. Triebes et al. for "PROCESS FOR PRODUCING UNITARY COMPONENT AND A CATHETER HAVING A UNITARY COMPONENT."

These applications are not listed on the accompanying PTO Form 1449; however, copies of these applications are provided herewith.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

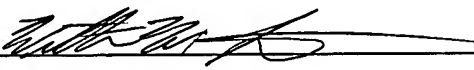
If the Examiner refuses to consider any or all of the herein listed or submitted materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutorial fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: (770) 587-7183.

Respectfully submitted,

DONALD J. MCMICHAEL

By:   
William W. Letson  
Registration No.: 42,797

#### CERTIFICATE OF MAILING

I, William W. Letson, hereby certify that on November 13, 2002 this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

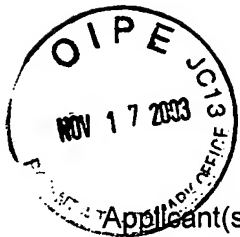
By:   
William W. Letson



## List of Patents and Publications for Information Disclosure Statement

Applicant(s): Donald J. McMichael Docket 19480  
 Serial No.: 10/639,057 Group:  
 Filed: August 11, 2003 Examiner:

| U.S. PATENT DOCUMENTS    |     |            |            |                  |       |          |             |    |
|--------------------------|-----|------------|------------|------------------|-------|----------|-------------|----|
| Initials                 |     | Doc. No.   | Date       | Name             | Class | Subclass | Filing Date |    |
|                          | A1  | 3,865,666  | 2/11/75    | Shoney           |       |          |             |    |
|                          | A2  | 3,985,601  | 10/12/76   | Panagrossi       |       |          |             |    |
|                          | A3  | 4,157,094  | 6/5/1979   | Patel            |       |          |             |    |
|                          | A4  | 4,177,815  | 12/11/1979 | Patel            |       |          |             |    |
|                          | A5  | 4,210,478  | 7/1/80     | Shoney           |       |          |             |    |
|                          | A6  | 4,263,236  | 4/21/81    | Briggs et al.    |       |          |             |    |
|                          | A7  | 4,850,953  | 7/25/1989  | Haber et al.     |       |          |             |    |
|                          | A8  | 4,863,426  | 9/5/89     | Ferragamo et al. |       |          |             |    |
|                          | A9  | 4,941,877  | 7/17/90    | Montano, Jr.     |       |          |             |    |
|                          | A10 | 5,041,125  | 8/20/91    | Montano, Jr.     |       |          |             |    |
|                          | A11 | 5,042,976  | 8/27/1991  | Ishitsu et al.   |       |          |             |    |
|                          | A12 | 5,195,970  | 3/23/93    | Gahara           |       |          |             |    |
|                          | A13 | 5,395,331  | 3/7/95     | O'Neill et al.   |       |          |             |    |
|                          | A14 | 5,405,472  | 4/11/95    | Leone            |       |          |             |    |
|                          | A15 | 5,522,961  | 6/4/1996   | Leonhardt        |       |          |             |    |
|                          | A16 | 5,620,418  | 4/15/97    | O'Neill et al.   |       |          |             |    |
|                          | A17 | 5,662,608  | 9/2/97     | Imran et al.     |       |          |             |    |
|                          | A18 | 5,879,499  | 3/9/99     | Corvi            |       |          |             |    |
|                          | A19 | 5,976,181  | 11/2/99    | Whelan et al.    |       |          |             |    |
|                          | A20 | 5,997,546  | 12/07/99   | Foster et al.    |       |          |             |    |
|                          | A21 | 6,004,289  | 12/21/99   | Saab             |       |          |             |    |
|                          | A22 | 6,024,722  | 02/15/00   | Rau et al.       |       |          |             |    |
|                          | A23 | 6,251,093  | 06/26/01   | Valley et al.    |       |          |             |    |
|                          | A24 | 6,264,631  | 7/24/01    | Willis et al.    |       |          |             |    |
|                          | A25 | 6,482,173  | 11/19/02   | Laksin           |       |          |             |    |
| Foreign Patent Documents |     |            |            |                  |       |          |             |    |
|                          |     |            |            |                  |       |          | Trans.      |    |
| Initials                 |     | Doc. No.   | Date       | Country          | Class | Subclass | Yes         | No |
|                          | A1  | 1,119,911  | 3/16/1982  | CA               |       |          |             |    |
|                          | A2  | 1,299,954  | 5/5/1992   | CA               |       |          |             |    |
|                          | A3  | 0347458 B1 | 3/30/1994  | EP               |       |          |             |    |
|                          | A4  | 1051990 A1 | 11/15/2000 | EP               |       |          |             |    |
|                          | A5  | 1226798 A2 | 7/31/2002  | EP               |       |          |             |    |
|                          | A6  | 1252909 A2 | 10/30/2002 | EP               |       |          |             |    |
|                          | A7  | 88/05316   | 7/28/1988  | WO-PCT           |       |          |             |    |

**List of Patents and Publications for Information Disclosure Statement**

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|                        |     |                                  |            |        |  |  |  |  |
|------------------------|-----|----------------------------------|------------|--------|--|--|--|--|
|                        | A8  | 92/19306                         | 11/12/1992 | WO-PCT |  |  |  |  |
|                        | A9  | 02/087492                        | 11/7/2002  | WO-PCT |  |  |  |  |
|                        | A10 | 03/24362                         | 3/27/2003  | WO-PCT |  |  |  |  |
|                        | A11 | 03/35158                         | 5/1/2003   | WO-PCT |  |  |  |  |
| <b>Other Documents</b> |     |                                  |            |        |  |  |  |  |
| Initials               |     | Author, Title, Date, Pages, etc. |            |        |  |  |  |  |
|                        | A1  |                                  |            |        |  |  |  |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

**Examiner:****Date Considered:**